

**Socioeconomic Monitoring (SocMon) for Baseline and Adaptation to Climate Change**

**KEY INFORMANT INTERVIEW / SECONDARY SOURCE GUIDE**

Key Informant Interview ID Number: \_\_\_ - \_\_\_ - \_\_\_

**Study Area:** \_\_\_\_\_ **Date of Interview:** \_\_\_\_\_ **Time Started:** \_\_\_\_\_ **Time Ended:** \_\_\_\_\_  
**Name of Interviewee:** \_\_\_\_\_ **Age:** \_\_\_\_\_ **Gender:** ( ) M ( ) F  
**Interviewee's role/position in the community:** \_\_\_\_\_

**Interviewer's Name:** \_\_\_\_\_ **Signature:** \_\_\_\_\_  
**Checked by: Name of Field Supervisor:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_  
**Encoded by name of Encoder:** \_\_\_\_\_ **Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**Part 1. COMMUNITY- LEVEL DEMOGRAPHICS**

**KS1. Study Area .** What are the boundaries of the study area? Note on base map.

**KS2. Population:** How many people live in the study area? \_\_\_\_\_

**KS3. Number of households:** How many households are in the study area? \_\_\_\_\_

**KS4. Migration rate:** What was the net increase or decrease in people moving into and out of the study area in the last year? (Note + or- to reflect moving in or out)

**KS5. Age:** What percent of the people in the study area are currently:  
 \_\_\_\_\_ 0-18; \_\_\_\_\_ 19-30; \_\_\_\_\_ 31-50; \_\_\_\_\_ over 50?

**KS6. Gender:** What percentage of the population is male \_\_\_\_\_ ; *is* female? \_\_\_\_\_

**KS7. Education:** What is the average number of years of education of people over 16 years old in the study area?) \_\_\_\_\_

**KS8. Literacy:** What percentage of the population is literate (can read and write) \_\_\_\_\_

**KS9. Ethnicity:** What is the ethnic make-up of the study area (percent of each major ethnic group in the study area) :

Major Ethnic Groups (please list)	Percent	Major Ethnic Groups (please list)	Percent

**KS10. Religion:**

What is the religious make-up of the area (percent of each religious group in the study area) :

Religion (please list)	Percent	Religion (please list)	Percent

**KS11. Language:** What are the major languages spoken in the study area (percent of each major religious group in the study area) :

Major Languages Spoken	Percent	Major Languages Spoken	Percent

**KS12. Occupation:** Complete the following table

Major occupations in community	Percent of working population conducting this occupation as primary occupation	Number of people conducting this occupation as <u>primary</u> occupation (please count; give number)	Percent of working population conducting this occupation as <u>secondary</u> occupation
1.			
2.			
3.			
4.			
5.			
6.			
7.			
8.			
9.			
10.			

**CC1.a** Compared with adjoining communities and/or villages, how would you rate the economic status of households in your community? ( ) Worse ( ) The Same ( ) Better

**CC1b-d. Demographically vulnerable groups and areas may need and Climate Change Risk/Hazard exposure** Please tick what climate change hazards are present in the community, and please identify what groups and areas in your community may need the most assistance in climate change adaptation

Climate Change Risks/ Hazards	CC1.b Yes/No	CC1.c Community Groups/ Locations (Please List)	CC1.d Community's Special Needs (Please List)
1. Tropical Storm			
2. Storm Surge			
3. Coastal/ Beach Erosion			
4. Salt Water Inundation			
5. Flood			
6. Climate-related land or mud slide			
7. Bush Fire			
8. Others 1 (specify _____ )			
9. Others 2 (specify _____ )			
10. Others 3 (specify _____ )			
11. Others 4 (specify _____ )			
12. Others 5 (specify _____ )			

**Part 2. COMMUNITY INFRASTRUCTURE**

**KS13. Community Infrastructure:** Tick/ check which services exist in the study area: (Add or modify as needed); provide additional comments, if necessary

- Schools \_\_\_\_\_
- Resident doctors \_\_\_\_\_
- Resident nurses \_\_\_\_\_
- Hospitals \_\_\_\_\_
- Medical clinics \_\_\_\_\_
- Electricity \_\_\_\_\_
- Telephone \_\_\_\_\_
- Internet access \_\_\_\_\_
- Radios televisions \_\_\_\_\_
- News papers \_\_\_\_\_
- Sewage treatment plant \_\_\_\_\_
- Ice plant \_\_\_\_\_
- Road access \_\_\_\_\_
- Water supply to homes \_\_\_\_\_
- Banking/credit union services \_\_\_\_\_
- Rotating credit associations \_\_\_\_\_
- Guesthouses/hotels/inns \_\_\_\_\_
- Restaurants \_\_\_\_\_
- Religious Institutions \_\_\_\_\_

**Other Observable Infrastructure in the Community:** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**CC2.a-d Dependence on vulnerability resources and services.** What are the important community resources utilized by the community for their activities (i.e fisheries, tourism, aquaculture, etc) in the study area Indicate the estimate percentage of each good and service produced that is used for personal or household consumption or income generation.

CC2.a Community Resources	CC2.b. Related Goods and Services	CC2.c Est. Percentage of each good /service produced that is for personal or household consumption	CC2.d. Est. Percentage of each good /service produced that is for income generation
1	1	1	1
	2	2	2
	3	3	3
2	1	1	1
	2	2	2
	3	3	3
3	1	1	1
	2	2	2
	3	3	3
4	1	1	1
	2	2	2
	3	3	3
5	1	1	1
	2	2	2
	3	3	3

**Part 3 COASTAL AND MARINE ACTIVITIES**

**KS14-23. Activities, Goods and Services, Types of Use, Value Goods and Services, Goods and Services Market Orientation, Use Patterns, Levels of Impact, Types of Impact, Level of Use by Outsiders, Household Use.** Complete the following table (see Appendix A for examples of how to complete the table)

<b>KS 14. Coastal and Marine Activities / (a.k.a CC2.a)</b>	<b>KS 15. Goods and Services (a.k.a CC2.b)</b>	<b>KS 16. Types of Household Uses (identify specific method to acquire the goods and services or the device being employed)</b>	<b>KS 17. Value of Goods and Services (ie: High, Medium, Low)</b>	<b>KS 18. Goods and Services Market Orientation (primary) ( ie Within or outside the village)</b>	<b>KS 19. Use Patterns (Location of coastal and marine activities) (eg. reef, bay, coast)</b>	<b>KS 20. Level of Impact (of coastal and marine activities to resources) (ie: High, Medium, Low)</b>	<b>KS 21. Types of Impact (primary) (eg. overfishing, pollution, anchor damage, nutrient loading)</b>	<b>KS 22. Level of (resource) use by Outsiders (ie: High, Medium, Low)</b>	<b>KS 23. Household Uses (eg. sale, consumption, giveaway, payment to labor, etc) (a.ka CC2.c-d)</b>
1	1	1	1	1	1	1	1	1	1
	2	2	2	2	2	2	2	2	2
	3	3	3	3	2	3	3	3	3
2	1	1	1	1	1	1	1	1	1
	2	2	2	2	2	2	2	2	2
	3	3	3	3	3	3	3	3	3
3	1	1	1	1	1	1	1	1	1
	2	2	2	2	2	2	2	2	2
	3	3	3	3	3	3	3	3	3
4	1	1	1	1	1	1	1	1	1
	2	2	2	2	2	2	2	2	2
	3	3	3	3	3	3	3	3	3

**KS24. Stakeholders:** ( *Stakeholders: individuals, groups, or organizations of people who are interested, involved or affected (positively and negatively by CRM)* ). Complete the following table:

Coastal Activity*	Stakeholder Group 1	Stakeholder Group 2	Stakeholder Group 3
1			
2			
3			
4			
5			

**Part 4 GOVERNANCE**

**KS25-29. Management Body, Management Plan, Enabling Legislations, Resources Allocations, Formal Tenure and Rules:**

\Complete the following table (see Appendix A, KS25-29 for examples of how to complete the table):

**Note: Formal Tenure: de jure use right/ access**

Coastal Activity*	Management Body(s) & Name (indicate: Yes or No)	Management Plan (indicate: Yes or No)	Enabling Legislation (indicate: Yes or No)	Number of Staff	Budget	Formal Tenure Arrangements (indicate: Yes or No)	Relevant Rules and Regulations (indicate: Yes or No)
1							
2							
3							
4							
5							

**KS30. Informal Tenure and Rules, Customs and Traditions:** Complete the following table:

Coastal Activity*	Customs and Traditions	Informal Tenure Arrangements	Informal Rules
1			
2			
3			
4			
5			

**KS31: Stakeholder Participations:** Complete the following table:

Stakeholder Group*	Stakeholder Participation (Yes/No)
1.	
2.	
3.	
4.	
5.	
6.	



**KS32: Stakeholder and Community Organizations:** Complete the following table

<b>Community Organization</b>	<b>Classification of community organization (e.g. into Formal or Informal)</b>	<b>Main Functions</b>	<b>Influence (on coastal management; community issues; both; none)</b>

**CC7. Formal and informal networks supporting climate hazard reductions and adaptations.** Describe formal and informal networks in the community, their supporting role in climate adaptation and hazard mitigation, their history and length of time supporting preparation for climate hazards, and their effectiveness.

**Complete the following table.**

<b>Networks supporting climate change preparedness (since when)</b>	<b>Number of Members</b>	<b>Percentage of community members in this group</b>	<b>Scope of Climate Change Issues Addressed</b>	<b>Gaps/needs of group</b>
1.				
2				
3				
4				
5				

**CC8. Ability of the community to reorganize.** Please share your perspectives on how well the community is able to reorganize in working collectively to confront the consequences of climate hazards, how it coordinates and collaborates, and the nature of shared goals and responsibilities among the leaders and members.

**Complete the following table.** *On a scale of agreement from 1 to 5 (1 = strongly disagree, 2 = disagree, 3 = neutral, 4 = agree, and 5 = strongly agree, 9=no response; NA= not applicable), please rate the following item statements:*

Item Statement	Degree of Agreement
1. Our community has plans in place to deal with climate-related events (such as a coral bleaching response plan)	1 2 3 4 5 9 NA
2. Our community is able to coordinate activities to respond quickly to the impacts of a natural event/hazard	1 2 3 4 5 9 NA
3. Our community is able to reorganize to respond to a new situation	1 2 3 4 5 9 NA
4. Our community has institutions that support us when we need to reorganize to cope with new situations or problems	1 2 3 4 5 9 NA
5. Our community members work well with each other	1 2 3 4 5 9 NA
6. Our community is able to access outside support when needed	1 2 3 4 5 9 NA

**CC9. Governance and Leadership.**

For each of statement below, rate your level of agreement using the given scale: 1 = strongly disagree, 2 = disagree, 3 = neither agree nor disagree, 4 = agree, 5 = strongly agree, 9 = no response, NA – not applicable.

Item Statement	Degree of Agreement
1. Our community leaders have successfully led us through climate hazards in the past.	1 2 3 4 5 9 NA
2. Our community leaders are interested in climate change issues and the impacts in our community.	1 2 3 4 5 9 NA
3. Our community has leaders who have knowledge and skills to effectively take charge of climate change adaptation.	1 2 3 4 5 9 NA
4. I trust our leaders to lead the community through climate change adaptation.	1 2 3 4 5 9 NA
5. Our community leaders/government officials inform us of national or regional climate change policy or initiatives that may impact our community.	1 2 3 4 5 9 NA
6. Our community leaders inform us where we get climate-related information.	1 2 3 4 5 9 NA
7. Our leaders suggest to us what we can do to adapt to changing climate.	1 2 3 4 5 9 NA
8. Our leaders can provide us with the resources we need for climate adaptation activities.	1 2 3 4 5 9 NA
9. Our leaders encourage community members to take part in climate adaptation planning.	1 2 3 4 5 9 NA
10. My voice is heard in community planning for climate change adaptation.	1 2 3 4 5 9 NA
11. I have had the opportunity to participate in community-level decision making.	1 2 3 4 5 9 NA